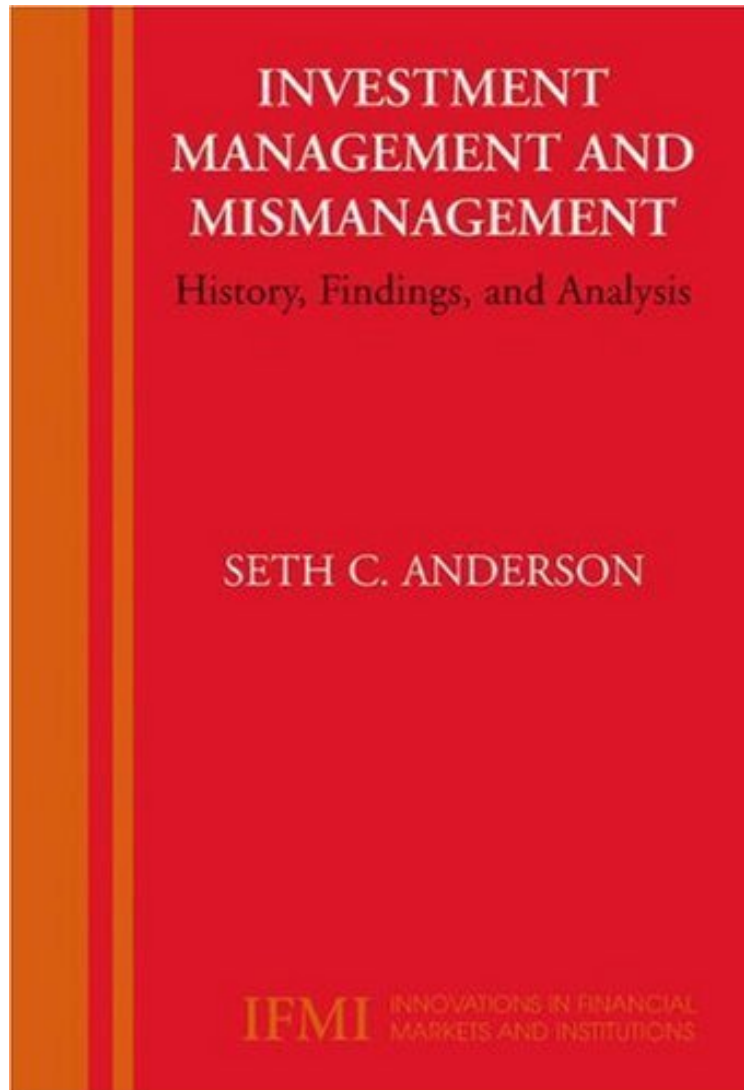


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## **Investment Management and Mismanagement: History, Findings, and Analysis: 17 (Innovations in Financial Markets and Institutions)**

*Seth Anderson*

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This book presents a critical analysis of four critical areas of investment management. Coverage includes an overview of portfolio management and its historical evolution; review and analysis of a range of academic research into the performance of portfolio managers; issues associated with both institutional and individual portfolio mismanagement; and a treatment of the important topics of suitability and churning. The contents are gathered from top academic, investment and law journals.

From the Back Cover **INVESTMENT MANAGEMENT AND MISMANAGEMENT: History, Findings, and Analysis** is designed for academic researchers, legal professionals, and practitioners who are interested in the various issues surrounding both professional and individual investment management. These issues include performance, anomalies, market timing, suitability, and churning, among others. The construct of mismanagement is presented in light of the impact of various costs on investment returns. "The book starts with a concise, yet informative, history of the primary entities of the investment industry. The first part of the book interestingly points out that many of the industry's challenges are not particularly new, but have been played out again and again throughout the existence of our financial markets. Against that backdrop, the author then proceeds to lay out the myriad of challenges inherent in today's environment. The book covers important topics, ranging from mutual funds' inability to consistently provide value, to the performance of individual investors. As to the problems of suitability and churning, the material clearly leads the reader to understand that these issues are rooted in the traditional method of compensation to brokers. The conflict of interest inherent in this method cannot be resolved until the manner of compensation is revised. This is a good review for the experienced investment professional, as well as an excellent overview for the novice." Kathleen A. Wayner President and CEO Darren C. Kavesh Chief Investment Officer Bowling Portfolio Management 513-871-7776  
About the Author Seth Anderson is a Professor of Finance with expertise in Investments/International Finance at the University of North Florida. He has served as a research consultant for several large pension plans including EIDupont. He also served as an expert witness work in the area of portfolio management. He is the author of *Mutual Funds: Fifty Years of Research Findings*, *Closed-End Fund Pricing: Theories and Evidence*, *Initial Public Offerings: Findings and Theories*, and *Closed End Investment Companies: Issues and Answers*. He has also authored more than forty articles published in *Journal of Financial Research*, *Financial Services*, *Journal of Behavioral Economics*, *Financial Analysts Journal*, *Journal of Portfolio Management* among others. His research findings have been cited in *Barron's*, *Business Week*, *CBS Radio News*, *Forbes*, *Money*, *Mutual Fund Magazine*, *The Wall Street Journal*, and other national and local media.